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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/694,624	10/27/2003	Philip L. Cole	COPL:002US	2611

32425 7590 03/14/2007
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EXAMINER

DUDNIKOV, VADIM

ART UNIT	PAPER NUMBER
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3663

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/14/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No.	Applicant(s)	
	10/694,624	COLE, PHILIP L.	
	Examiner	Art Unit	
	Vadim Dudnikov	3663	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 26 December 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) 4,5 and 10-27 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3,6-9 and 28-30 is/are rejected.
- 7) ☒ Claim(s) 2,29 and 30 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 December 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3 IDS</u> | 6) <input type="checkbox"/> Other: _____ |

06/08/2006

05/22/2006

01/16/2004

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination (RCE) under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/26/2006 has been entered.

Response to Amendment

Amendment filed 12/26/2006 with said RCE has been entered in light of said RCE. In said Amendment substantially amends Drawings and claims 1, 8 and new claims 28, 29, 30 added. Comments on Remarks submitted with said Amendment are included below under "Response to Arguments".

Status of the Claims

Claims 1-3, 6-9 and 28-30 have been examined, claims 4-5 and 10-27 have been withdrawn.

Claims Objection

1. Claims 2, 29 and 30 are objected to because of following informality:
 - a). On line 1 of claim 2 insert ---said-- after "wherein" and remove --the material-- after "identifying", on line 2 claim 2 insert --of an-- after "range" and replace --the-- to --a-- after "of";
 - b) On line 1 of claim 29 insert --positron-- after "electron";
 - c). On line 2 of claim 30 insert --positron--after "electron";

Response to Arguments

2. Applicant's arguments see pages 8-14, filed 12/26/2006, with respect to the previous Office action have been fully considered and are persuasive.

Accordingly, the Objections and Rejections of the drawings specification and claims have been withdrawn.

Applicant substantially amended all elected, previously claims and added new claims.

The rejections provided in the previous office action have been overcome.

The rejections provided above are herewith being made of record at the earliest time.

Furthermore, attention is drawn to noted introducing of new matter, prompting rejection of claims 1-3, 6-9, 28-30 under the first paragraph of 35 U.S.C. 112.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claim **1-3, 28-30** rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claims contain subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Limitation “detecting an emerging photon beam within an energy **range from about 1 MeV to about 50 MeV** from the fissile material with an array of fission-fragment detectors, a first set of scintillator paddles, and a second set of scintillator paddles” claim 1, lines 4-7, introduces a new matter, because it is not disclosed in specification, as sanded.

Claims **2,3** and **28-30** are rejected as depended of rejected claim 1.

Claim rejections – 35 USC § 103

5. The following is a quotation of USC 103 (a) which forms the basis for all obviousness rejections set forth in this Office Action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims **1-3, 6-9, and 28-30** are rejected under 35 U.S.C. 103(a) as being unpatentable over Neale et al., U.S. Patent # 5,524,133 in view of Gunther et al, "Applicability of a simple parallel plate avalanche detector to photofission experiments", Nucl. Instrum. And Methods, 163, 459-461, 1979 and in view of Groom, "Photon and electron interaction with matter", LBNL, 1998, p. 152, 153..

Considering independent Claim **1** Neale teaches (Title, Abstract, FIG.2, FIG. 3, FIG. 4, column 1, lines 16-67, column 2, lines 1-67): "A method for identifying a fissile material (subjecting the material to high energy X-rays and determining the **mean number** $N_{\text{sub.A}}$ of X-rays transmitted through a region thereof, in title, abstract, column 1, lines 18-67), comprising: casting an incident photon beam (**18** in FIG. 4) from an electron beam accelerator (**10** in FIG.4) on the fissile material (**12** in FIG. 3); detecting an emerging photon beam within an energy range from about 1 MeV to about 50 MeV from the fissile material with an array of fission-fragment detectors, a first set of scintillator paddles, and a second set of scintillator paddles (**22** in FIG. 4), herein the array of fission-fragment detectors, the first set of scintillator paddles, and the second set of scintillator paddles are sensitive to different ranges of photon beam energy; and determining a photon energy regime of the emerging photon beam, the photon energy level identifying the fissile material (Material **discrimination** arises from the energy

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dependence of the transmission coefficient being different for different materials. The transmitted X-rays are detected by **pairs of crystals** placed one behind the other the **front crystal** being sensitive to lower energy X-rays while the **rear crystal** is sensitive to higher energy X-rays. Good discrimination is possible at low X-ray energies because of the strong variation with energy of the transmission coefficient for the crystals of the X-ray detectors. The X-rays are absorbed by the photoelectric process which has a strong energy dependence; (column 8, lines 13-44). The X-ray detectors may be crystals of zinc tungstate or cadmium tungstate in which event the X-ray photons are converted by the crystals into electromagnetic radiation in the visible range and the photons of visible light can be detected and quantified using a photo-electric sensor adapted to generate from the light emitted from the crystal an electric current which can be measured to give a numerical value proportional to the X-ray photon population incident on the appropriate crystal. As well known in the art of high energy photon detection (see Groom Fig.24.1) the photon attenuation length for photons with energy up to 50 MeV is below 100 g/cm^2 and it is less than for photons with energy 5 MeV. Therefore design (thickness) of **rarer crystal** detector is enough for absorption and detection of photons with energy **up to 50 MeV**).

Neale does not necessarily teach the limitation that a first detector is "an array of fission-fragment detectors and the array of fission-fragment detectors is sensitive to different ranges of photon beam energy "

However, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include said limitation in view of Gunther et al.

drawn to detection of photons with determined range of energy in the field of photofission fragment detection, hence analogous art, who teach to "applicability of a parallel plate avalanche detector to photofission detection (PPAD fission fragment detector) which can be used for high sensitive and selective detection of photons in photofission energy range and is insensitive to gamma background of photons with other energies (p. 462, column1, lines 8-29). Motivation for said inclusion derives from Gunther and Groom: because "Cross section of heavy nucleus photofission is large for photons with the energy of photofission range above ~5 MeV, (see cross section of photofission σ_{nucl} in Fig. 24.3,) and this is useful for increase an efficiency of said photons detection and fission fragment detectors are insensitive to gamma background of photons with energies out of photofission range".

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include the teaching by Gunther and by Groom in the teaching by Neale to use photofission fragment detector for selective registration of the photons in photofission energy range and this using can improve material discrimination.

On claim 2: "The method of claim 1, wherein identifying the material comprises determining a range atomic number of the material in a container" is disclosed by Neale (**Material discrimination** arises from the energy dependence of the transmission coefficient being different for different materials (abstract, column 8, lines 13-44), and

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determining the **mean number** $N_{\text{sub.A}}$ of X-rays transmitted through a region thereof (in title, abstract, column 1, lines 18-67)).

On claim 3: "The method of claim 1, wherein detecting the emerging photon beam from the material with the array of fission-fragment detectors comprises detecting an energy range of the emerging photon beam in a range between about 10 MeV to 20 MeV " is disclosed by Gunther (p. 462, column1, lines 11-29). Motivation for said inclusion derives from Gunther and Groom: because "Cross section of heavy nucleus photofission is large for photons with the energy of photofission range above ~5 MeV, (see photofission cross sections σ_{nucl} in Fig. 24.3) and this is useful for increase an efficiency and a selectivity of said photons detection and because the fission fragment detectors are insensitive to gamma background of photons with energies out of photofission range".

On claim 6: "The method of claim 1, wherein detecting the emerging photon beam from the material with the first set of scintillator paddles comprises detecting an energy range of the emerging photon beam in a range up to about 6 MeV" disclosed by Neale in abstract, in FIG. 2, in FIG. 3, "The transmitted X-rays are detected by **pairs of crystals** placed one behind the other the **front crystal** being sensitive to lower energy X-rays while the **rear crystal** is sensitive to higher energy X-rays, lower energy X-rays being filtered out using appropriate screens. Good discrimination is possible at low X-ray energies because of the strong variation with energy of the transmission coefficient

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for the crystals of the X-ray detectors. The X-rays are absorbed by the photoelectric process which has a strong energy dependence" (column 8, lines 13-44)).

On claim 7: "The method of claim 1, wherein detecting the emerging photon beam from the material with the second set of scintillator paddles comprises detecting an energy range of the emerging photon beam exceeding about 6 MeV" disclosed by Neale: "The transmitted X-rays are detected by **pairs of crystals** placed one behind the other the **front crystal** being sensitive to lower energy X-rays while the **rear crystal** is sensitive to higher energy X-rays, lower energy X-rays being filtered out using appropriate screens. Good discrimination is possible at low X-ray energies because of the strong variation with energy of the transmission coefficient for the crystals of the X-ray detectors. The X-rays are absorbed by the photoelectric process which has a strong energy dependence"; (column 8, lines 13-44)).

On claim 8: "The method of claim 1, further comprising using a data acquisition and processing system to process a first signal from the array of fission-fragment detectors, a second signal from the first set of scintillator paddles and a third signal from the second set of scintillator paddles" disclosed by Neale: in abstract, in FIG. 2, FIG. 3, Fig. 12, Fig. 13, "The transmitted X-rays are detected by **pairs of crystals** placed one behind the other the **front crystal** being sensitive to lower energy X-rays while the **rear crystal** is sensitive to higher energy X-rays, lower energy X-rays being filtered out using appropriate screens. Good discrimination is possible at low X-ray

energies because of the strong variation with energy of the transmission coefficient for the crystals of the X-ray detectors. The X-rays are absorbed by the photoelectric process which has a strong energy dependence; (column 8, lines 13-44)). FIG. 13 is a block schematic diagram of the signal processing stages of items 100 and 102 in FIG. 12 and the data processing and computation stage 104 of FIG. 12. Those elements making up each of the items of FIG. 12 are contained in outline boxes appropriately labeled with the corresponding reference numeral from FIG. 12 for ease of reference.

On claim 9: "The method of claim 8, further comprising creating a photon distribution energy curve using a combination of the first signal from the array of fission- fragment detectors, the second signal from the first set of scintillator paddles, and the third signal from the second set of scintillator paddles" disclosed by Neale in abstract, in FIG. 2, FIG. 3, Fig. 12, Fig. 13, "The transmitted X-rays are detected by **pairs of crystals** placed one behind the other the **front crystal** being sensitive to lower energy X-rays while the **rear crystal** is sensitive to higher energy X-rays, lower energy X-rays being filtered out using appropriate screens. Good discrimination is possible at low X-ray energies because of the strong variation with energy of the transmission coefficient for the crystals of the X-ray detectors. The X-rays are absorbed by the photoelectric process which has a strong energy dependence; (column 8, lines 13-44)). FIG. 13 is a block schematic diagram of the signal processing stages of items 100 and 102 in FIG. 12 and the data processing and computation stage 104 of FIG. 12. Those elements making up each of the items of FIG. 12 are contained in outline boxes

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appropriately labeled with the corresponding reference numeral from FIG. 12 for ease of reference.

On claim **28**: "The method of claim 1, wherein casting an incident photon beam from the electron beam accelerator comprises directing an electron beam onto a radiator for producing a photon" disclosed by Neale: "detector arrays being disposed respectively opposite **the accelerators**; Typically the source is a conventional 10 MeV electron linear accelerator with targets and beam hardeners to determine the X-ray spectrum emanating therefrom.", in abstract, Figs. 4, 5, 6,

On claim **29**: "The method of claim 1, further comprising producing electron pairs with a converter coupled to the second set of scintillator paddles" disclosed by Neale: "Each of the detectors is made up of a target typically of tungsten (although any other dense high z material may be used) with two zinc tungstate crystals located on opposite sides thereof and positioned so as to receive photons of energy produced on the one hand predominately by electron-positron pair production. A probability of electron-positron pair conversion is high for photons with energy exceeding about 6 MeV. "

On claim **30**: "The method of claim 29, further comprising detecting an energy range of the electron pairs exceeding about 6 MeV" disclosed by Neale: "Each of the detectors is made up of a target typically of tungsten (although any other dense high z material may be used) with two zinc tungstate crystals located on opposite sides thereof

and positioned so as to receive photons of energy produced on the one hand predominately by **electron-positron pair** production; a lead plate will absorb the lower energy photons and transmit only the higher energy photons thereby ensuring that the second zinc tungstate detector only tends to receive energy attributable to electron-positron pair production and virtually none resulting from Compton scatter. A probability of electron-positron pair conversion is high for photons with energy exceeding about 6 MeV".

Conclusion

7. The following references are cited for disclosing related limitations of the applicant's claimed and disclosed invention:

Geus et al. U.S. Patent # 6,195, 413 B1

Cowan et al., Photonuclear fission from high energy electrons from ultraintense laser-solid interactions, *Phy. Rev. Letters*, 84(5), 903, 2000.

Duffield, The fission energy barrier, LA-1399, 1951.

Arrida et al., Detection of fission fragments by parallel plate avalanche counter in the presence of an intense electron beam, *Nuclear Instrum. Methods*, 190 (1980), 203-205.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vadim Dudnikov whose telephone number is 571-270-1325. The examiner can normally be reached on 8:00 - 17:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

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supervisor, Jack W. Keith can be reached, Mon-Fri 7:00am-4:00 pm, at telephone number 571-272-6878. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Patent Examiner.



Vadim Dudnikov

March 9, 2007.

Primary Examiner:



Johannes Mondt

(TC 3600, Art Unit: 3663)